



Comprehensive genomic profiling of glioblastoma tumors, BTICs, and xenografts reveals stability and adaptation to growth environments

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Glioblastoma multiforme (GBM) is the most deadly brain tumor, and currently lacks effective treatment options. Brain tumor-initiating cells (BTICs) and orthotopic xenografts are widely used in investigating GBM biology and new therapies for this aggressive disease. However, the genomic characteristics and molecular resemblance of these models to GBM tumors remain undetermined. We used massively parallel sequencing technology to decode the genomes and transcriptomes of BTICs and xenografts and their matched tumors in order to delineate the potential impacts of the distinct growth environments. Using data generated from whole-genome sequencing of 201 samples and RNA sequencing of 118 samples, we show that BTICs and xenografts resemble their parental tumor at the genomic level but differ at the mRNA expression and epigenomic levels, likely due to the different growth environment for each sample type. These findings suggest that a comprehensive genomic understanding of in vitro and in vivo GBM model systems is crucial for interpreting data from drug screens, and can help control for biases introduced by cell-culture conditions and the microenvironment in mouse models. We also found that lack of *MGMT* expression in pretreated GBM is linked to hypermutation, which in turn contributes to increased genomic heterogeneity and requires new strategies for GBM treatment.

glioblastoma | genome | therapy | transcriptome | BTICs

Glioblastoma multiforme (GBM) is the most deadly form of brain cancer, with almost all patients dying of the disease within 2 y of initial diagnosis. Treatment regimens combining surgical resection with radiotherapy and concurrent adjuvant temozolomide (TMZ) chemotherapy have led to modest gains in survival, with 2- and 5-y survival rates of 25 and 10%, respectively (1). The main barriers to successful treatment include the invasive nature and low proliferative activity of GBM that impede complete surgical removal of the invasive cells while also promoting resistance to chemotherapy and radiotherapy.

Cell lines and xenograft models are frequently used for investigating cancer biology and therapeutics. Several reports have described GBM cell lines and xenograft models (2–5). In particular, the identification of brain tumor-initiating cells (BTICs) more than a decade ago led to the hypothesis that a subpopulation of treatment-resistant cells may possess “stem cell-like” properties

and spawn recurrences (6). By adapting neural stem cell tissue-culture techniques (7), a library of BTICs was generated by culturing patient-derived GBM tumor cells as spheres in neural stem cell media. These BTICs mimic the phenotypic aspects of human GBM when implanted orthotopically in mice and capture the genetic diversity of GBM more faithfully than traditional immortalized cell lines (2, 8). However, it is unclear how closely BTICs in culture or grown orthotopically in mice resemble the genetic, epigenetic, and transcriptomic landscape of tumors from which they were derived.

The Cancer Genome Atlas (TCGA) has generated a comprehensive catalog of molecular alterations and deregulated signaling pathways in GBM patient samples (9–11). Such surveys illustrate the power of systematic sequencing approaches to

Significance

Our comprehensive genomic comparison of matched tumor, brain tumor-initiating cells (BTICs), and xenografts will help inform the observations made when using these model systems and guide the experimental design for drug screening and hypothesis testing. In addition, paired genomic and transcriptomic data we generated for tumors and their matched in vitro and in vivo models provide valuable resources for the future study of glioblastoma at the genetic and molecular levels.

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The authors declare no conflict of interest.

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Data deposition: All genome and transcriptome sequencing datasets reported in this study have been deposited in the European Genome-phenome Archive, <https://www.ebi.ac.uk/ega/home> (accession no. EGAS00001002709). The methylation data reported in this study have been deposited in the Gene Expression Omnibus (GEO) database, <https://www.ncbi.nlm.nih.gov/geo> (accession no. GSE128654).

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identify molecular genetic alterations of biological significance and, in due course, candidate therapeutic targets. TCGA resource also provides an important resource against which to compare data from other GBMs and materials derived from them. Such a data resource and in-depth analysis are needed for cell lines and xenografts that are heavily used in the study of disease biology and treatment. Here, we report comprehensive whole-genome, transcriptome, and methylome datasets derived from matched GBM tumor, BTIC, and xenograft samples, comparing these with each other and GBM samples from TCGA. The comprehensive molecular profiling of BTICs and xenografts provides insights into GBM biology and reveals how in vitro and in vivo growth environments affect the genomes and transcriptomes of these model systems. Our findings will help to interpret drug-screen results from these models, refine future strategies for the development of novel therapeutic approaches, and avoid biases introduced by culture conditions.

Results

To investigate how the GBM genomic landscape is shaped during the production of BTICs from human tumor tissues and their subsequent growth in xenografts, we sequenced and analyzed the genomes of 201 samples, including 46 matched parent tumor–BTIC pairs and 15 matched trios of tumor–BTIC–xenograft, together with their matched blood samples (Table 1). In addition, we performed RNA sequencing on 108 samples, including 37 pairs and 10 trios, to determine changes in gene expression. To assess differences in DNA methylation, 35 pairs and 4 trios were profiled using Illumina's Infinium Human Methylation 450K array. In addition to newly diagnosed tumors, our analyses also included posttreatment, recurrent GBM (GBM-R) cases (including a secondary GBM sample) to provide insights into the mechanisms of treatment resistance.

Conservation of Genomic Features among Parent Tumors, Matched Cell Lines, and Xenografts. In all 3 types of samples, tumor, BTIC, and xenograft, we generally observed conserved patterns of driver events in GBM that were described previously, such as *PTEN* mutation, *EGFR* amplification and point mutation, as well as homozygous loss of *CDKN2A* and *CDKN2B* (Fig. 1) (9, 10). In particular, from the analysis of copy-number alterations (CNAs), we found that the conserved pattern of CNAs among the 3 types of samples was not limited to alterations known to be characteristic of GBM, such as gains of chromosome 7 and losses of chromosome 10, but across the whole genome (SI Appendix, Fig. S1).

Using the whole-genome sequencing data, we also investigated the mutation landscape of tumors, BTICs, and xenografts. As our collection included both pre- and posttreatment tumor samples, we observed 2 levels of mutation burden: 39 samples showed an average of 3.9 somatic mutations per million bp in the genome (range, 0.95 to 16.09), including pre- and posttreatment samples. Additionally, 10 samples displayed a hypermutation phenotype, exhibiting a much higher rate, with an average of 93 mutations per million bases (range, 41 to 140) (SI Appendix, Fig. S2A). Hypermutation is a genomic feature found in many posttreatment GBMs (12), and all hypermutated samples in our collection were derived from recurrent GBM treated with TMZ in the primary setting; these hypermutated samples have mutations in mismatch repair (MMR) genes (SI Appendix, Table S1). However, not all TMZ-treated recurrent GBM demonstrated a hypermutation phenotype, suggesting alternative mechanisms of resistance that are discussed later.

In contrast to CNAs, mutational patterns showed a larger diversity between matched pairs, especially in hypermutated samples. The proportion of shared single-nucleotide variants (SNVs) between tumors and matched BTICs varied across samples, ranging from 12 to 64% in samples without hypermutation, with

a median of 34% (SI Appendix, Figs. S2B and S3). A generally lower percentage of mutations was shared between hypermutated tumors and their matched BTICs (0.7 to 52%, median 21%; SI Appendix, Fig. S2B); the level of SNV overlap appeared to be related to the timing of mutations in MMR genes, especially *MSH6*. In samples without shared MMR gene mutations (mutations acquired independently after diverging from an ancestral clone), only ~0.7% of mutations were common between pairs. In samples that had 1 shared MMR mutation, ~10% of mutations were shared between the matched tumor and BTIC, and in pairs with 2 shared MMR mutations >20% mutations were in common (SI Appendix, Fig. S2C).

Using the 15 trios of tumor, BTIC, and xenograft samples, we further investigated the genomic similarities and differences among tumor, BTIC, and xenograft samples. Our analysis showed that in most trios, the majority of mutations are shared among all 3 sample types from the same patient (34 to 80%, median 51%; SI Appendix, Fig. S2D). As expected, the commonly mutated genes are enriched in glioma-related pathways, such as the PI3K pathway, MAPK pathway, and p53 signaling, suggesting that cancer-driving mutations are well-conserved in the in vitro and in vivo models.

Disparate Genomic Features Revealed in Comparisons among Tumors, Cell Lines, and Xenografts. Comparison between tumors and their matched BTICs revealed aberrations that were private to each sample type. BTIC-specific mutations ranged from 8 to 59% of all mutations in a given pair (SI Appendix, Fig. S3). Few genes were mutated privately in more than 2 BTIC lines. There were ~700 genes that were uniquely mutated in only 1 of the BTIC lines. These did not reveal significant enrichment in any particular biological pathway or process. This may indicate that the majority of new aberrations gained in the cell lines were likely due to tumor heterogeneity or random and ongoing intrinsic mutational processes associated with proliferation in vitro.

We also identified variants in the tumors but not in the matched BTICs. Out of the total mutations in each pair, ~2 to 60% of mutations are found in tumors only. This is expected, since BTICs are derived from only a few cells of the original tumor and therefore tumor-specific mutations may reflect tumor heterogeneity. Alternately, selective pressures of in vitro growth conditions may lead to preferential loss of some clones, especially when the same observation was made in several tumor–BTIC pairs. Among such variants, of particular interest were *PDGFRA* amplification and *PDGFRA* p. P343L/T mutations. In 2 patients (PT-LS4891 and PT-RL5404), *PDGFRA* was within high-level focal amplifications in the tumor sample, and most of the amplified copies had the same mutation in proline 343 (35 out of 40 copies in PT-LS4891, and 71 out of 77 copies in PT-RL5404). In contrast, neither the amplification nor the mutation was found in BTICs derived from these 2 tumor samples, suggesting a different selection preference for clones with *PDGFRA* aberrations in BTICs.

Another difference between tumors and BTICs was observed in 2 samples with the *IDH1* mutation. BT-142 from patient PT-EV3071 and BT-92 from patient PT-AR5365 each had an *IDH1* R132H mutation in the tumor, but the BT-142 cell line lost the wild-type allele while the BT-92 cell line lost the mutated allele, suggesting that this allele has to be homozygous in order to establish cell lines. This finding was confirmed with more samples and these results have been previously published (13).

Among the 15 trios of tumor, BTIC, and xenograft samples, private mutations in each sample type were sparse: 0 to 4% in tumors, 0 to 27% in BTICs, and 6 to 37% in xenografts. We did not observe any functional enrichment of statistical significance. However, among mutations private to BTICs, several were found in genes involved in cell-matrix interaction including cell-matrix adhesion, cell-substrate adhesion, and extracellular matrix organization,

Table 1. Matched tumor–BTIC–xenograft blood samples with whole-genome sequencing, transcriptome sequencing, and methylation array data

Samples and sequencing data								Clinical data		
Patient ID	BTIC ID	Tumor*	BTIC	Xenograft	Blood	Tumor MGMT methylation status [†]	New or recurrent [‡]	Treatment [§]	Age, y	Gender
PT-VO7089	BT-100	W, T, M	W, T, M		W	U	N	No treatment	63	M
PT-AB0029	BT-106	W, T	W		W		N	RT alone	83	M
PT-GC1519	BT-108	W, T	W, T		W		N	RT+TMZ + 2 cycles TMZ only	46	M
PT-AB6372	BT-119	W, T, M	W, T, M		W	M	R	RT+TMZ, TMZ	69	F
PT-HN6692	BT-126	W, M	W, T, M		W	U	Unknown	Unknown	67	M
PT-BM772	BT-127	W, M	W, T, M		W	U	N	RT+TMZ, TMZ	55	M
PT-BK0248	BT-134	W	W, T	W	W		N	CRT	50	F
PT-RL7940	BT-140	W, T, M	W, T, M		W	U	N	RT+TMZ, TMZ	63	M
PT-EV3071	BT-142	W, T	W, T	W, T	W		N	RT+TMZ, TMZ	38	M
PT-MB9777	BT-143	W, T, M	W, T, M	W, T, M	W	M	R	RT+TMZ, TMZ	39	F
PT-MB9777	BT-143-Y	W, T	W, T	W	W		R	RT+TMZ, TMZ	39	F
PT-MB9777	BT-143-Z	W	W, T		W		R	RT+TMZ, TMZ	39	F
PT-DS9789	BT-147	W, T, M	W, T, M	W, T, M	W	U	R	RT+TMZ, TMZ	55	M
PT-MD9088	BT-156	W, T, M	W, T, M		W	U	R	RT+TMZ, TMZ	51	M
PT-SK0976	BT-161	W, T, M	W, T, M	W	W	M	N	RT+TMZ+placebo or bevacizumab followed by TMZ	55	F
PT-JW6420	BT-166	W, T	W, T		W		N	RT+TMZ, TMZ	69	M
PT-LR9369	BT-167	W, T, M	W, T, M		W	U	R	RT+TMZ, TMZ	63	M
PT-RD1291	BT-169	W, T	W, T		W		N	RT+TMZ, TMZ	41	M
PT-KM5291	BT-172	W, T, M	W, T, M	W	W	U	N	RT+TMZ, TMZ	51	M
PT-JP2405	BT-181	W, T, M	W, T, M		W	M	R	RT and TMZ before diagnosis of GBM	47	M
PT-SJ5453	BT-191	W, T, M	W, T, M	W, T	W	U	N	RT+TMZ	44	F
PT-AK7565	BT-194	W, T, M	W, T, M		W	M	N	CRT (Stupp), then RESCUE after progression/recurrence	59	M
PT-LS4891	BT-198	W, T, M	W, T, M	W	W	U	N	No treatment	52	F
PT-PV2594	BT-206	W, T, M	W, T, M	W, T		M	N	RT+TMZ, TMZ	68	M
PT-PV2594	BT-206-R		W, T			M	N	RT+TMZ, TMZ	68	M
PT-AH1410	BT-208	W, M	W, T, M		W	M	N	RT+TMZ, TMZ	69	M
PT-SS3647	BT-220	W, T, M	W, T, M		W	U	N	RT alone	84	M
PT-GJ3716	BT-238-X	W	W, T	W	W		N	RT+TMZ	61	M
PT-GJ3716	BT-238-Z	W, T	W		W		N	RT+TMZ	61	M
PT-JE6375	BT-241	W, T	W, T	T	W		N	RT followed by TMZ	79	F
PT-CA2271	BT-245	W, T, M	W, T, M	W, T	W	U	N	RT followed by TMZ	70	M
PT-GR2309	BT-246	W, M	W, T, M		W	M	N	CRT (Stupp)	60	F
PT-CM1209	BT-248-XY	W, T	W, T	W, T	W		R	RT+TMZ, TMZ	55	F
PT-CM1209	BT-248-Z	W, T	W, T	W	W		R	RT+TMZ, TMZ	55	F
PT-HS9105	BT-266	W	W		W		R	CRT (Stupp), then RESCUE after progression/recurrence	54	F
PT-TM5196	BT-280	W, T, M	W, T, M		W	U	R	RT alone (CEC1 trial), then RESCUE after recurrence	35	M
PT-WP9124	BT-284	W, T, M	W, T, M		W	M	R	CRT (Stupp), then bevacizumab after recurrence	50	M
PT-GB9483	BT-287	W	W		W		R	CRT (Stupp)	52	M
PT-PD6881	BT-41	W, T, M	W, T, M		W	U	R	RT+TMZ	49	M
PT-DF5919	BT-53	W, T, M	W, T, M	M	W	M	N	Unknown	59	M
PT-JB1730	BT-63	W, T	W, T		W		N	TMZ followed by TMZ+RAD001C (clinical trial)	60	F
PT-FB6711	BT-67	W, T, M	W, T, M		W	M	N	RT+TMZ	44	M
PT-HO0394	BT-69	W, M	W, T, M	W, T	W	U	N	No treatment	51	M
PT-RW9277	BT-73	W, T, M	W, T, M	W, T, M	W	U	Unknown	Unknown	52	M
PT-RL5404	BT-75	W, T, M	W, T, M		W	U	N	RT alone	74	M
PT-AL4257	BT-84	W, T, M	W, T, M		W	M	N	Unknown	Unknown	M
PT-GB9186	BT-85	W, T, M	W, T, M		W	M	N	RT followed by TMZ	74	M
PT-SO0258	BT-89	W, T, M	W, T, M		W	M	N	RT followed by TMZ	60	F
PT-AR5365	BT-92	W, T	W, T		W		R	RT+TMZ, TMZ	23	M
PT-LC3356	BT-94	W, T, M	W, T, M	W, T	W	M	N	RT+TMZ	60	F

*M, methylation profiling; T, transcriptome sequencing by RNA-seq; W, whole-genome sequencing.

[†]M, methylated; U, unmethylated.

[‡]N, newly diagnosed; R, recurrent after treatment.

[§]CRT, concurrent chemoradiotherapy; RESCUE, a phase II TMZ trial; RT, radiation therapy; RT+TMZ, concurrent radiation therapy and temozolomide; Stupp, the Stupp protocol for glioblastoma; RT+TMZ, TMZ, concurrent radiation therapy and temozolomide followed by temozolomide.

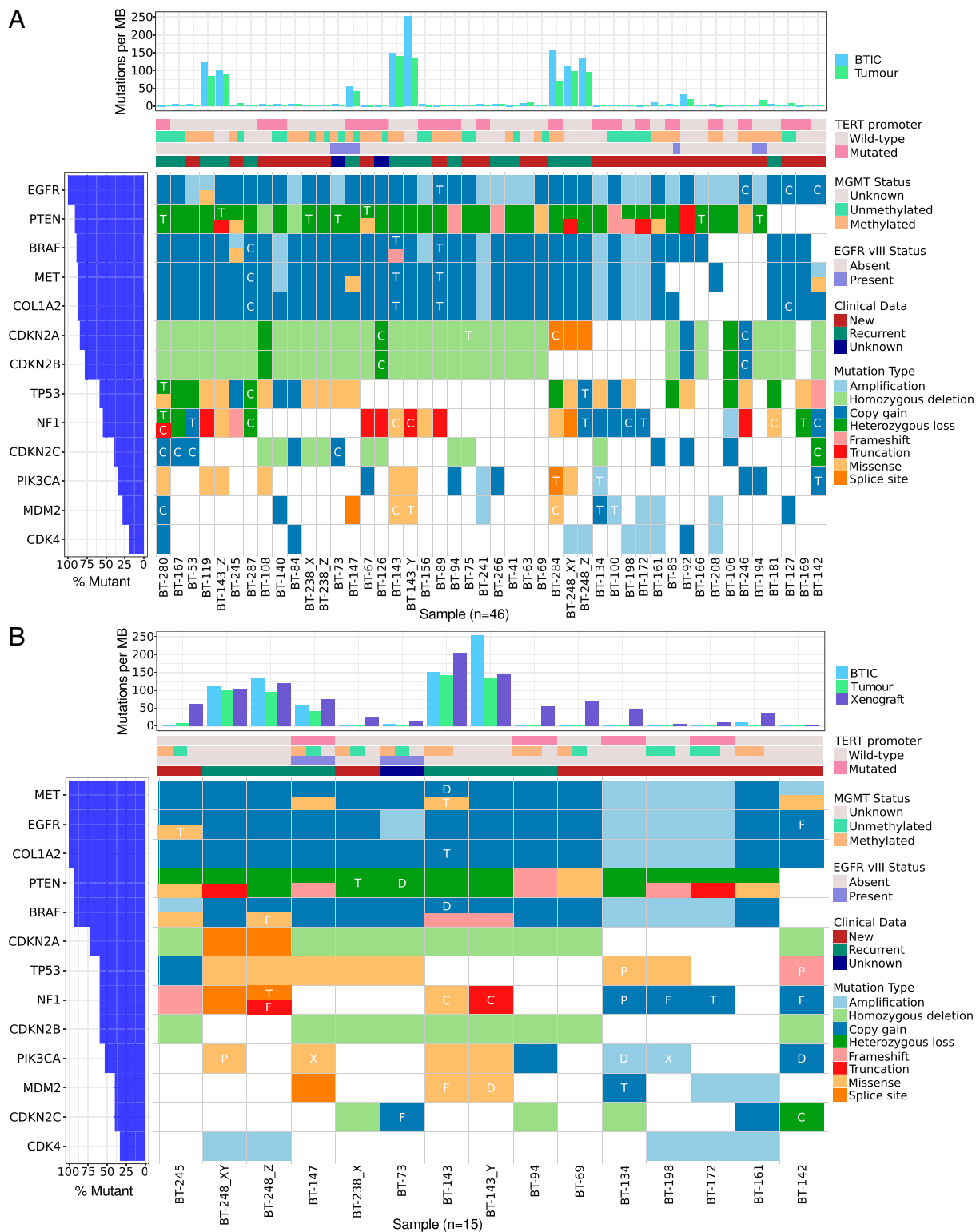


Fig. 1. Distribution of somatic aberrations in genes found significantly altered in previous GBM studies. Aberration types are encoded by different colors. Letters are used when the aberration is not shared in a pair or trio. C, private in a BTIC; D, private in a tumor and xenograft in a trio; F, private in a BTIC and xenograft in a trio; P, private in a tumor and BTIC in a trio; T, private in a tumor; X, private in a xenograft. *MGMT* and *EGFR* vIII status tiles are split when a tumor (*Upper*) and BTIC (*Lower*) differ. Loss of tumor suppressor and gain of oncogene are well-conserved in pairs and trios. The private aberrations often have low functional impact, such as shallow copy changes or a variant of unknown significance, or passenger mutations in hypermutated samples. (A) Aberrations in paired tumor and BTIC samples. (B) Aberrations in trios of tumor–BTIC–xenograft samples.

such as *ITGA2*, *ITGA8*, and *NID1*. Private mutations observed in xenografts are found in several genes involved in brain functions, including ciliary or flagellar motility, sensory organ development, and regulation of synaptic transmission. Larger sample sizes are needed to determine if these private mutations are a consequence of adaptation to the distinct growth environments of each model system.

Transcriptome and Methylome Reveal Differences among Tumor, BTIC, and Xenograft Samples. We generated and compared RNA-sequencing data from 108 samples, including 37 matched tumor–BTIC pairs and 10 matched tumor–BTIC–xenograft trios, to observe how gene expression differs between tumors and the in vitro and in vivo model systems. From principal-component analysis (PCA) of the expression data, we found the clear separation of tumor samples from BTIC and xenograft samples along PC1, while BTIC and xenograft clusters were completely overlapping on PC1 and partially overlapping along PC2 (Fig. 2). A heuristic clustering analysis also showed that samples from the same patient did not group together. Thus, higher similarity at the expression level was observed in samples of the same type (tumor, BTIC, xenograft) rather than those of samples from the same patient.

Similar differences were observed between GBM tumors and matched BTIC samples when DNA methylation (DNAm) profiles were interrogated across ~420,000 CpG sites in the genome. Based on unsupervised hierarchical clustering of DNAm profiles, GBM tumors clustered distinctly away from BTIC samples, indicating global differences in DNAm signatures between the paired tissue sources (Fig. 3A). In addition, no discernible clustering in DNAm profiles was observed based on sample subtype classification (either classical, mesenchymal, or proneural) (Fig. 3A). These results were corroborated by PCA, which showed that tissue source and subtype were associated with PC1, comprising 23.7% of the variance in DNAm (Fig. 3B). Moreover, PC1 was largely driven by differences in tissue source (i.e., tumor versus

BTIC) followed by subtype class (Fig. 3B), similar to what was observed in the clustering results of RNA expression data.

As well as transcriptionally defined subtypes, we also calculated subgroups based on methylation patterns as described previously (14). All 33 tumor methylation samples with suitable sample quality were classified as GBM, with 14 classified as RTK I subtype, 10 as RTK II, and 9 as mesenchymal. Compared with tumors, cell lines in general have lower scores, which may result from lack of stromal cells. These methylation-defined subtypes are not entirely equivalent to the proneural, classical, and mesenchymal subtypes but show overlap as described previously (15) (SI Appendix, Table S2).

Differential Expression Analysis across Matched Pairs and Trios. We further analyzed differentially expressed genes (DEGs) across tumor, BTIC, and xenograft samples in order to determine which genes and biological pathways had altered expression in the comparison between parent tumor samples and tumor-derived model systems. We found 3,172 genes up-regulated and 321 down-regulated in tumor samples relative to BTIC samples, while 3,032 were up-regulated and 1,158 were down-regulated in tumor samples relative to xenograft samples (Datasets S1 and S2). Many of the significantly enriched biological process gene ontology terms for the DEGs that separate tumor from either BTIC or xenograft samples are associated with the immune response, and 8 of the top 10 terms are shared between the 2 comparisons (Fig. 4 and Dataset S3). In both comparisons of tumor versus tumor-derived model, tumor samples form immune-high and immune-low clusters, supporting the notion that immune microenvironment is a major contributor to the observed expression patterns (SI Appendix, Fig. S4). The immune-high clusters have an overrepresentation of mesenchymal vs. nonmesenchymal subtype samples ($P = 0.0034$ and $P = 0.0010$, Fisher's exact test), and the immune-low clusters are overrepresented with proneural samples ($P = 0.0021$ and $P = 0.0157$, Fisher's exact test). In addition to

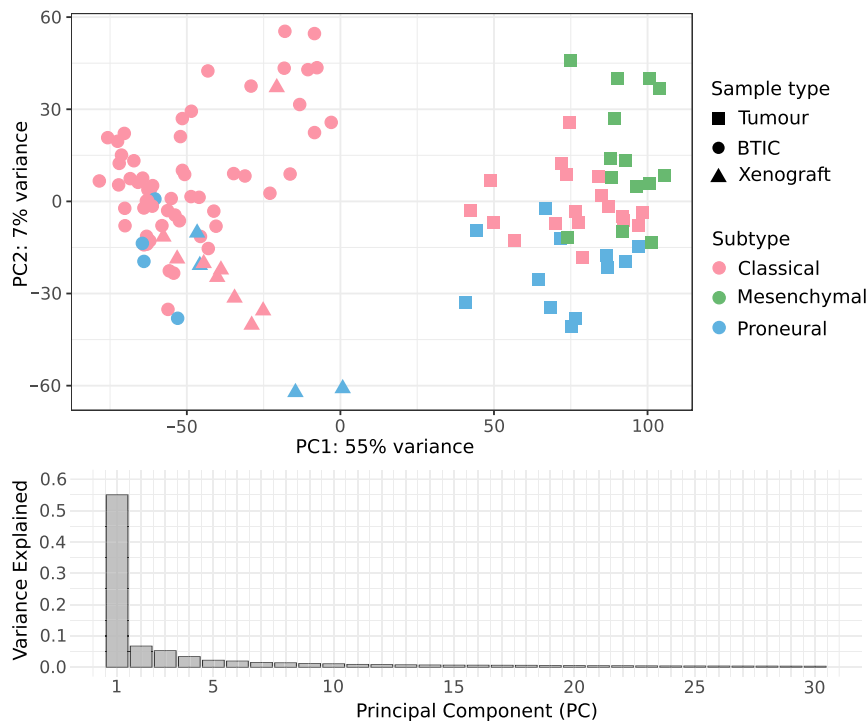


Fig. 2. Principal-component analysis plot of tumors, BTICs, and xenografts based on RNA expression. Color indicates sample type, while shape represents subtype. Samples show higher similarity within that same sample type, suggesting the growth condition has a higher impact on the gene expression than the individual genetic makeup.

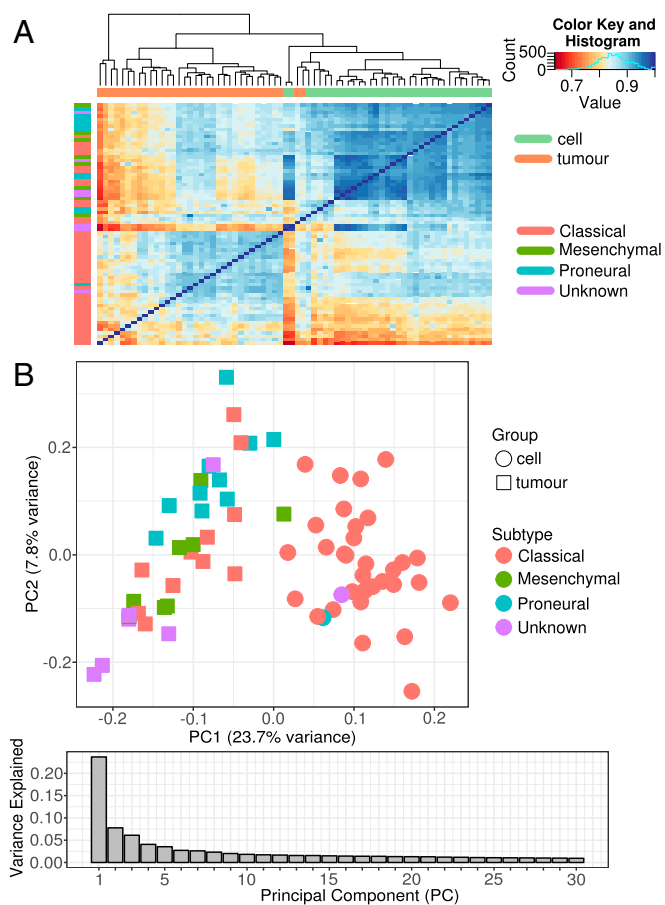


Fig. 3. Global differences in DNA methylation profiles between BTICs and matched GBM tumors. (A) Heatmap of sample-to-sample correlations of global DNA methylation profiles from matched GBM tumors and BTICs shows that samples largely cluster by tissue source. There was no apparent clustering by sample subtype. (B) Scatterplot of PC1 versus PC2 scores shows that the first 2 PCs are largely associated with tissue source (BTIC vs. GBM tumor) followed by sample subtype classification.

the immune association, we find many DEGs with increased expression in tumor relative to BTIC or xenograft samples that belong to gene families associated with oncogenic signaling pathways, such as colony-stimulating factors (CSFs) and CSF receptors, fibroblast growth factors (FGFs) and FGF receptors, phosphatidylinositol 3-kinases, and vascular endothelial growth factor receptors (Datasets S1 and S2). This has implications for future drug-targeting studies that utilize these model systems, as targeting these components or their pathways in an in vitro or in vivo model is less likely to provide a response representative of the original tumor environment.

In contrast to the imbalance of up-regulated DEGs in the tumor versus BTIC and xenograft sample comparisons, a similar number of up- and down-regulated DEGs were found when comparing the in vitro and in vivo models, with 1,491 up-regulated and 1,828 down-regulated in BTIC samples relative to xenograft samples (Dataset S4). The DEGs up-regulated in BTIC samples were significantly enriched for cell adhesion, extracellular matrix organization, and the collagen catabolic process, in line with their growth environment. Interestingly, the DEGs most strongly up-regulated in xenograft samples were not significantly enriched for any biological processes, suggesting that fewer pathway-specific expression differences exist between tumor and xenograft samples than either sample type compared with BTICs. Overall, it appears that immune-related gene expression is a major contributor to the expression differences

between tumors and tumor-derived models. Such differences may be contributed by stromal cells or other noncancer cell types in the tumor sample, which are absent in the BTIC and xenograft samples with mouse sequences removed. Additionally, this may indicate that substantial differences in drug-target genes can occur within in vitro and in vivo model systems depending on the growth environment, and it is important to know which genes are sensitive to such environmental changes and which are more tolerant.

To identify clinically relevant expression signatures well-modeled in vitro and in vivo relative to parent tumors, we performed enrichment analysis of a set of genes with high expression that are not differentially expressed between sample types. Several KEGG pathways, including glioma, cell cycle, ErbB signaling, mTOR signaling, and pathways in cancer, were found to be significantly enriched, and include genes frequently altered in GBM such as *EGFR*, *PTEN*, *CDK6*, *RBI*, and *TP53*. Genes highly expressed in vivo and in vitro involved in GBM-related pathways provide a reasonable starting point for drug screens.

Of particular interest is the expression and methylation status of *MGMT* in matched tumors, BTICs, and xenografts, as this gene is an important marker for TMZ response in the clinic. Our data suggest that the *MGMT* expression level is stable across the matched samples (SI Appendix, Table S3). Methylation array data showed that 27 out of 35 pairs had the same *MGMT* status in tumors and BTICs, while the remaining 8 pairs had *MGMT* unmethylated in tumors and methylated in BTICs (Fig. 1). Six of the 8 pairs had matched genomic and transcriptomic data to infer tumor content and *MGMT* expression levels. Tumor content in 3 of these 6 pairs was low (<30% in the matched tumors of BT-69, BT-126, and BT-238), so the status change may come from enrichment of tumor cells in the BTIC samples. Two BTICs (BT-147 and BT-245) still showed a low level of *MGMT* expression, which suggested that the sample may contain a mixed population of methylated and unmethylated cells.

Microenvironment of Tumor Samples Contributes to the Differential Expression across Models and Subtypes.

The DEGs most strongly up-regulated in tumor samples appear to be associated with tumor microenvironment. To evaluate how the growth environment affects the differential expression between tumors and their in vitro and in vivo models, we used Cibersort (16) and xCell (17), 2 methods of determining cell composition via different algorithms. The Cibersort absolute score was significantly higher for tumor samples than either BTIC ($P < 2.2e-16$) or xenograft ($P = 5.6e-8$) samples, reflecting the presence of nontumor cells in the original tumor samples (SI Appendix, Fig. S5). A similar pattern was found for the xCell microenvironment score, which is the sum of stromal and immune cell scores, with higher scores in tumor samples than BTIC ($P = 8.5e-14$) and xenograft ($P = 1.1e-3$; nonhuman sequences were removed) samples (SI Appendix, Fig. S5). As expected, both methods found significantly higher nonmalignant cell-type association scores in tumor samples, which may partly contribute to the differential expression among tumors and the in vitro and in vivo models.

We also observed differences in nonmalignant cells among tumor subtypes. Our collection of tumor samples included 3 GBM subtypes based on the expression of the subtype signature genes defined in previous studies (11). We found that 18 out of the 44 tumor samples (41%) displayed features of the classical subtype, 12 (25%) were classified as proneural, and another 14 (32%) were classified as mesenchymal. Both Cibersort and xCell results showed that tumor samples classified as mesenchymal contained a larger variety of cell types (Fig. 5). Specifically, we found a significant positive association between several immune-suppressing cell types and the mesenchymal subtype (SI Appendix, Figs. S6 and S7). Of particular note is the higher proportion of M2 macrophages in mesenchymal tumors relative to classical

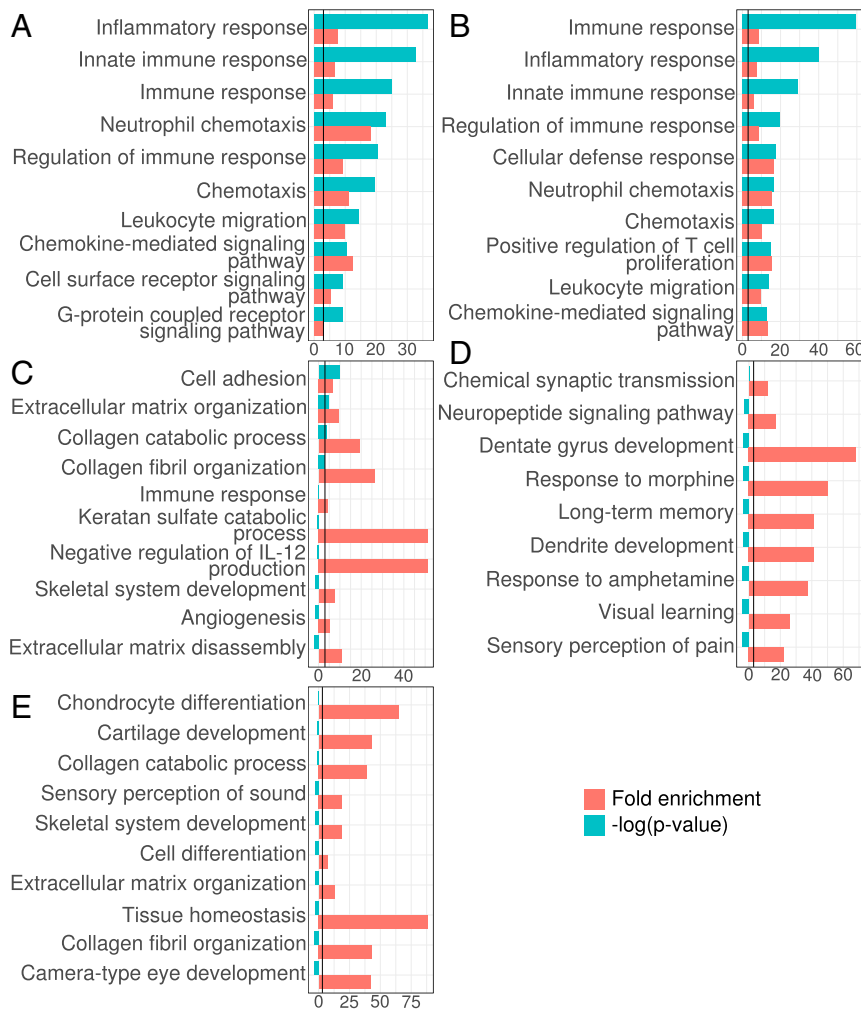


Fig. 4. Gene ontology biological process terms enriched in the top 10% of DEGs up-regulated in each sample type relative to one another. Terms were ranked by false discovery rate (FDR) and the top 10 are shown for each comparison. The vertical black line represents the threshold for a significant P -value cutoff (FDR < 0.05). (A) DEGs up-regulated in tumors relative to BTICs. (B) DEGs up-regulated in tumors relative to xenografts. (C) DEGs up-regulated in BTICs relative to xenografts. (D) DEGs up-regulated in xenografts relative to BTICs. (E) DEGs up-regulated in BTICs relative to tumors. A plot for DEGs up-regulated in xenografts relative to tumors is absent, as the DEG set from this comparison produced no enriched terms.

and proneural tumors. Monocyte, fibroblast, and dendritic cell enrichment values are also higher in mesenchymal samples than nonmesenchymal subtype samples (SI Appendix, Fig. S7B). Overall, our result suggested that GBM transcriptional subtype is associated with immune microenvironment, especially in the mesenchymal subtype.

Integrated Genomic and Transcriptomic Analysis Reveals Two Mutually Exclusive Mechanisms of TMZ Resistance. As observed before, many recurrent GBM tumors derived after TMZ treatment of the primary tumor showed a hypermutated phenotype, likely caused by mutation in MMR genes and therefore MMR deficiency (12). This is a known mechanism of TMZ resistance. However, not all posttreatment samples were hypermutated. In our collection, only 9 out of the 14 GBM-R samples showed a hypermutated phenotype and had mutations in MMR genes (Fig. 6). Another 5 GBM-R samples showed a normal mutational load, without loss-of-function mutation in MMR genes or TMZ-related mutation signature. Therefore, we examined the genome and transcriptome data of these posttreated samples to determine an alternative mechanism of resistance. One major difference between the 2 groups was the expression of the *MGMT* gene. All recurrent samples without hypermutation

showed *MGMT* expression >1 RPKM (reads per kilobase of transcript per million mapped reads), while *MGMT* expression was not detected or at a very low level (<1 RPKM) in recurrent samples with hypermutation. This observation led to the hypothesis that MMR deficiency and *MGMT* expression are 2 mutually exclusive mechanisms of TMZ resistance.

We tested this hypothesis of the exclusive relationship between *MGMT* expression and MMR deficiency in BTICs and xenografts from 2 newly diagnosed GBM samples, BT-206 and BT-73. BTICs from these pretreatment samples showed sensitivity to TMZ, and were implanted into mouse brains to establish xenografts. Both mice were treated with TMZ, and developed TMZ resistance. Cell lines were then explanted from these xenografts (BT-206-R and BT-73-R), sequenced, and compared with the original TMZ-sensitive lines. Both TMZ-sensitive BTICs (pretreatment) had no somatic mutation in the MMR gene and no detectable *MGMT* expression. After TMZ treatment, 1 of the TMZ-resistant cell lines, BT-206-R, acquired a mutation in *MSH6* (p.T1219I) and displayed a hypermutation phenotype while *MGMT* expression remained undetectable. The other cell line, BT-73-R, did not have hypermutation or mutation in any MMR genes, but displayed a significantly increased level of *MGMT* expression (RPKM values from 0 in the pretreatment

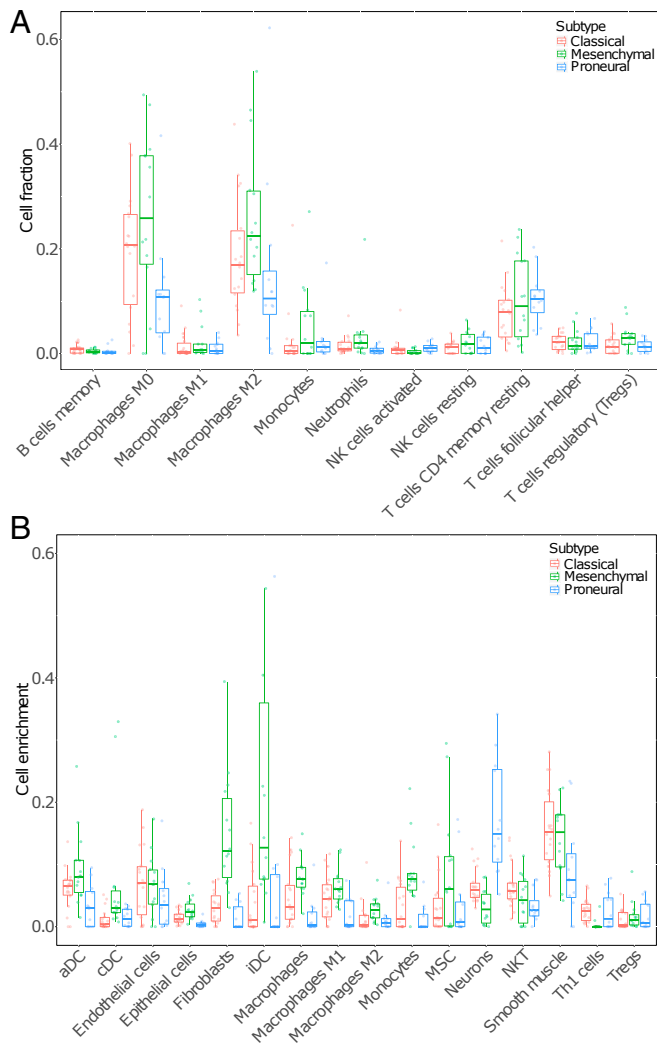


Fig. 5. CIBERSORT cell fractions (A) and xCell enrichment scores (B) across multiple cell types with classical, mesenchymal, and proneural subtypes shown as red, green, and blue boxes and points, respectively. Only selected cell types of interest and with a median value greater than 0.001 from xCell are shown in B; for all cell types, see *SI Appendix, Fig. S7*. Two outlier values were excluded from B.

sample to 5.5 in the posttreatment sample, which is the highest level among all of the sequenced samples in this collection). Such *MGMT* expression change was also observed at the protein level (18). Thus, the 2 experiments showed each of the mutually exclusive mechanisms of resistance to TMZ treatment.

Current Treatment Regimen Potentially Causes a Higher Level of Heterogeneity. As mentioned above, our posttreatment samples showed higher mutational divergence between tumors and their matched cell lines, suggesting higher levels of heterogeneity in posttreatment GBM. To further investigate the increased treatment-associated heterogeneity, we collected and sequenced multiple spatially separated samples from posttreatment tumors from 2 patients, PT-MB9777 and PT-CM1209. BTICs were also generated from at least 2 regions of the tumor. In addition, a BTIC from the pretreatment tumor of PT-CM1209 was included in this analysis.

Patient PT-MB9777 (BT-143) presented with recurrent glioblastoma after treatment with TMZ and concurrent radiation therapy followed by adjuvant TMZ. Four tumor sections were

taken from distinct regions of the debulked recurrent tumor. Sequencing data showed that each section had many private SNVs, ranging from ~2,000 to 5,000, while only 61 mutations were shared among all 4 sections (<0.4% of total mutations from all sections combined; *SI Appendix, Fig. S8A*). These 61 core mutations may derive from the original founding clone, and include *PIK3CA* p.Q546H, which may be the initial driver mutation. All 4 sections showed hypermutation phenotypes and strong TMZ mutation signatures, suggesting that the high mutation burden resulted from TMZ-induced mutagenesis. Interestingly, each of the 4 sections contained 2 distinct *MSH6* mutations, and there was no shared *MSH6* mutation between any pair of sections, suggesting that these *MSH6* mutations each arose independently from distinct clones. All these *MSH6* mutations are G>A or C>T, consistent with the pattern of TMZ-introduced mutations. Some of these mutations have also been reported in other cancers, such as p.T1219I, p.D575Y, and p.T757I, and likely cause *MSH6* loss of function. These observations indicate that the exposure to TMZ led to *MSH6* mutations rapidly arising in different cells, exemplifying how an often-used anticancer DNA-damaging agent can generate mutations leading to resistance.

Patient PT-CM1209 (BT-248) had 2 sections taken from a posttreatment tumor, and a BTIC derived from a pretreatment tumor. BTICs derived from each section of the posttreatment tumor were also sequenced. Comparison among the genomes of the 5 samples showed that each of the posttreatment samples accumulated thousands of mutations, but only 41 of them are shared with the pretreatment sample (*SI Appendix, Fig. S8B*). Among the shared ones are a *PTEN* homozygous p.R130* nonsense mutation and *TP53* p.R273C missense mutation, which were likely present as initial drivers. The 2 posttreatment samples and their derived BTICs shared 1,159 mutations (including 2 *MSH6* mutations: p.G864E and p.E1193L). As described earlier, the shared *MSH6* mutations may explain the higher level of overlap among the sections.

Comparison between pre- and posttreatment samples showed 48 mutations in the pretreatment samples that are absent in the posttreatment ones, including *TP53* p.V157fs and *JAK1* p.R219*. The loss of a *TP53* mutation in a recurrent tumor was also observed in another study, in which 2 out of 7 cases seem to have

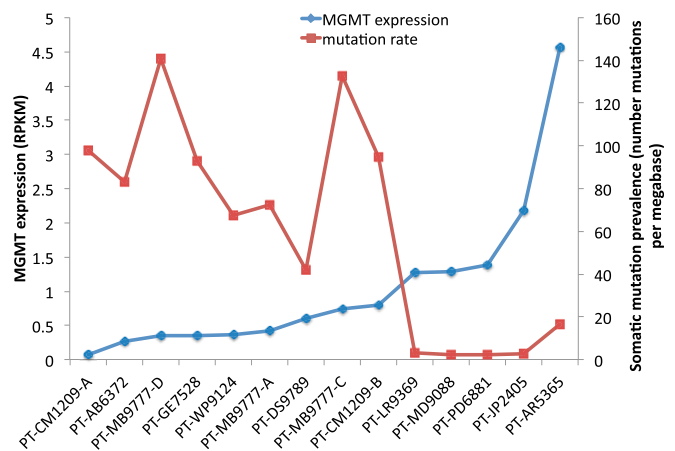


Fig. 6. *MGMT* expression and MMR deficiency as 2 mutually exclusive mechanisms of TMZ resistance. *MGMT* expression levels are indicated by RPKM value, and mutation load is shown by the number of somatic mutations per megabase from posttreatment samples. Samples with >20 mutations per Mb have a very low level of *MGMT* RNA expression (<1 RPKM), and they all contain a somatic *MSH* loss-of-function mutation and have a hypermutation phenotype. Samples with *MGMT* expression over 1 RPKM have a low mutation load (<20 mutations per Mb).

lost *TP53* mutations in the recurrent tumor (19). In contrast, another *TP53* mutation, R273C, is still present in both pre- and posttreatment samples. R273C is described as oncomorphic, by increasing expression of activated progrowth pathways including phosphorylation of EGFR, Her2, and retinoblastoma protein (20). The switch of function of *TP53* due to R273C mutation may confer a growth advantage and lead to retention of the variant in different clones.

Discussion

To investigate how faithfully GBM cell lines and xenografts capture the molecular features of their parental tumors, we compared the genomic and transcriptomic features of matched tumor, BTIC, and xenograft samples. Multiple genome features are well-conserved across the 3 types of samples, including somatic driver mutations and genome-wide CNAs.

As expected, differences are also observed at the genome level. Most of the nonconserved mutations are from genes without known significance to cancer, and are not shared in multiple samples, suggesting they are likely from intratumor heterogeneity. Yet, in rare cases, a difference in mutations from key GBM genes was also observed, as the *PDGFRA* amplification and mutation described above. The *PDGFRA* proline 343 mutation together with high-level amplification may provide a growth advantage to tumors in the presence of PDGF-family mitogens. Such an advantage is likely not maintained within the BTIC culture conditions that lack PDGF in the media. The loss of amplification of *PDGFRA* proline 343 in BTICs suggests that in cell-culture conditions lacking PDGF, they may be selected in favor of cells expressing growth factor receptors that respond to those factors present in the neural stem cell growth medium.

Unlike genomic changes that take generations to accumulate, gene expression has the potential to more easily and quickly adapt to changes in the environment. Therefore, more transcriptome-level changes are expected than at the genome level. Such differences are well-captured by our analysis of cell-type composition. We used Cibersort and xCell to assess the association of GBM tumor subtype with immune cell enrichment and microenvironment. Cibersort results show that M0 and M2 macrophages represent the dominant immune cell types in our 44 tumor samples, with CD4 memory resting T cells being the next-largest fraction (Fig. 5A and *SI Appendix, Fig. S7A*). Since xCell has a much broader set of cell-type signatures, these results showed enrichment of several cell types other than macrophages. These include dendritic cell (DC) types, most notably immature DCs, fibroblasts, mesenchymal stem cells, endothelial cells, neurons, and smooth muscle cells, among others (Fig. 5B and *SI Appendix, Fig. S7B*).

The mesenchymal subtype of GBM is associated with higher cellular heterogeneity and shorter median survival than other expression subtypes (21). This GBM subtype has also been shown to be enriched for macrophage M1 and M2 polarized cells and neutrophils, while having a reduced NK cell signature (21). M2 macrophages are known to play an immunosuppressive role by inhibiting phagocytosis and T cell proliferation (22), and this immune inactivation may play a part in the shorter median survival seen in mesenchymal GBM. Monocyte, fibroblast, and dendritic cell enrichment values are also higher in mesenchymal than nonmesenchymal subtype samples (*SI Appendix, Fig. S7B*). Cancer-associated fibroblasts have been shown to play a role in the growth and migration of glioma cells (23), while dendritic cells are typically associated with coordination and activation of an immune response. It is possible that the monocyte signature is enriched due to the presence of myeloid-derived suppressor cells (MDSCs), as they are derived from monocytes and there is no specific signature for MDSCs in either Cibersort or xCell. This is supported by the significantly higher expression of the MDSC markers *CD33* and *SI00A9* in mesenchymal subtype samples relative to nonmesenchymal samples ($P = 0.0028$ and $P = 0.021$).

MDSCs have strong immunosuppressive activity through inhibition of CD8+ T cells and increasing production of tumor-specific regulatory T cells (24). We also find differences in immune-promoting cells. There was an elevated Th1 cell signature in classical and proneural tumors compared with mesenchymal tumors (*SI Appendix, Fig. S7B*). Th1 cells are thought to be tumor-suppressing by playing a role in activating cytotoxic cells (25). The effect of the immune microenvironment on glioma progression has been known for some time and has implications for treatment improvement and survival (26–31), and therefore the use of expression-based cell deconvolution and enrichment analyses of the tumor microenvironment may help to reveal novel pathways for targeted treatment via immune modulation.

Whole-genome and RNA sequencing of both pre- and post-treatment samples in our collection enabled us to compare their genomes and transcriptomes and infer potential mechanisms of treatment resistance. From our data, we hypothesize that MMR deficiency and *MGMT* expression are 2 mutually exclusive mechanisms of TMZ resistance. Conceptually, this can be explained by the hypothetical mechanism of how *MGMT* expression repairs TMZ-induced damage. *MGMT* encodes O⁶-alkylguanine DNA alkyltransferase that can remove the damage caused by TMZ, and therefore the tumor cells are not under the selection pressure of accumulated mutations. When *MGMT* expression is absent, C>T (or G>A) mutations introduced by TMZ accumulate in the genome. When these mutations occur in MMR genes causing a loss of function, clones deficient in MMR cannot trigger apoptosis, leading to accumulation of cells that survive with higher mutation loads. This phenomenon has been alluded to in previous literature (32, 33), but we now demonstrate a clear mutually exclusive relationship between *MGMT* expression and MMR deficiency.

It is well-established that GBM displays high levels of inter- and intratumoral heterogeneity and several studies have revealed spatial heterogeneity in multiregional biopsies (34, 35). In post-treatment tumors, TMZ may induce hypermutation, which can lead to potentially new mutations that drive tumor progression. Indeed, previous studies have demonstrated that specific alterations and evolutionary patterns are associated with treatment (36), and therefore increase heterogeneity and challenge the targeted posttreatment of GBM. A study using 127 GBM samples showed that genomic characterization of spatial and temporal heterogeneity in multiple sections of GBM can inform targeted treatment (37), yet clinically it could be challenging to administer multiple drugs simultaneously. Although hypermutation can impose treatment challenges, it has been linked to positive outcomes in immune checkpoint inhibitor treatment (38), and several clinical trials have been initiated in GBM patients (39). A comprehensive study of hypermutation in human cancers showed hypermutation as a consequence of treatment by chemotherapies such as alkylators, as commonly observed in GBM treated with TMZ (40). However, we show here that not all tumors developing resistance to TMZ become hypermutated. Understanding whether TMZ induces hypermutation in an individual patient and whether hypermutation creates a vulnerability to immune therapy may shed light on this promising new type of treatment.

Conclusion

Through genomic characterization and comparison of GBM tumors, cell lines, and xenografts, we found that genomic aberrations are well-conserved across matched tumors, cell lines, and xenografts. In contrast, gene expression and methylation showed higher levels of divergence, likely due to the different in vivo and in vitro growth environments among sample types. These findings suggest that an understanding of genomic information is crucial to the interpretation of drug-test results from in vitro and

in vivo models, as well as the understanding of drug resistance observed in current treatment. Also, this knowledge can guide the design of drug-screening experiments to avoid bias introduced by culture conditions.

Materials and Methods

Tumor and Nucleic Acid Samples. Formalin-fixed paraffin-embedded tumor specimens and fresh-frozen tumor specimens were collected with consent per protocols approved by the Conjoint Health Research Ethics Board of the University of Calgary. Only tumors classified histologically as GBM according to 2007 WHO CNS tumor classification criteria were included. DNA and RNA were extracted from frozen tumor material using DNeasy (Qiagen) and TRIzol (Invitrogen), respectively, per the manufacturers' protocols.

Establishment of BTIC Lines and Xenografts. Surgical samples from patients with newly diagnosed and recurrent glioblastoma were obtained from the Tumor Tissue Bank within the Arnie Charbonneau Cancer Institute, transported to the BTIC Core Facility, and established as described previously (2). Patient-derived BTICs were implanted into the brains of SCID mice as described previously (18, 41). Following sacrifice, the brains were removed, and tumors were excised and flash-frozen in liquid nitrogen. All procedures were reviewed and approved by the University of Calgary Animal Care Committee (Animal Protocol #AC17-0230). A more detailed description is included in *SI Appendix*.

Whole-Genome Sequencing and Analysis. We implemented an automated version of the TruSeq DNA PCR-Free Kit (FC-121-1002; Illumina). Sheared DNA was end-repaired and size-selected using AMPure XP beads targeting a 300- to 400-bp fraction. After 3' A tailing, full-length TruSeq adapters were ligated. The PCR-free library concentration was quantified using a qPCR Library Quantification Kit (KK4824; KAPA). After passing QC, the library was sequenced using the Illumina HiSeq 2000 and 2500. The sequencing depth is $\sim 30\times$ for each tumor genome.

Reads were aligned to the human genome (GRCh37-lite) using BWA (v0.5.7) (42). Reads from multiple lanes were merged and duplicate-marked using Picard (v1.71) (43). Variants were called using mpileup (SAMtools v0.1.17) (44) and subsequently filtered with the built-in varFilter function. Each tumor sample (metastasis and primary) was compared with the normal sample to identify somatic copy-number variants (CNaseq v0.0.6) (45), loss-of-heterozygosity (LOH) events (APOLLOH v0.1.1) (46), single-nucleotide variants [SAMtools v0.1.17; MutationSeq v1.0.2 (47); Strelka v0.4.6.2 (48)], and small insertions and deletions (Strelka v0.4.6.2). Variants were annotated to genes with SnpEff (v3.2a) (49) using the Ensembl database (v69) (50). For tumor and xenograft samples, we evaluated tumor purity based on copy number, LOH, and variant allele frequency, and removed samples with tumor purity less than 20%.

Transcriptome Sequencing and Analysis. Strand-specific RNA sequencing was done with the Illumina HiSeq 2000 and 2500. RNA-seq reads were analyzed with JAGuar (51) to include alignments to a database of exon junction sequences and subsequent repositioning onto the genomic reference. RNA-seq data were processed using in-house pipeline coverage analysis and normalized expression level. Gene expression in the tumor was compared with a compendium of normal tissues and with 1 or more normal libraries from the

same tissue type to identify up- and down-regulated genes. Expression levels were also evaluated by comparing against tumor and normal samples from The Cancer Genome Atlas project. Both genomic and RNA-seq tumor data were also assembled using Trans-ABYSS (v1.4.3) (52) to identify structural variants and fusion genes. Differential expression analysis was performed using DESeq2 (v1.18.1) (53). Differentially expressed genes were called based on a minimum log₂ fold change of 2.0 and a Benjamini-Hochberg adjusted *P*-value cutoff of 0.01. **Datasets S1, S2, and S4** contain the mean of counts, log₂ fold change, SE of the log₂ fold change, Wald statistic, *P* value, and adjusted *P* value for all differentially expressed genes. Gene ontology enrichment analysis was performed using DAVID 6.8 (54).

We used 3 approaches to determine the subtype of each sample: gene enrichment analysis, Spearman correlation, and heuristic clustering. Approach 1: For each of our samples, we collected the RPKM values of 800 genes that determine GBM subtypes as described previously (11), and used the single-sample gene set enrichment analysis (ssGSEA) algorithm from the Broad Institute to determine the subtype of our samples (55). Approach 2: We collected all of the RNA-seq data as well as the subtype of GBM samples from TCGA. For each sample, we conducted a Spearman correlation with all GBM samples using the same 800 genes, and assigned the subtype of TCGA GBM sample with the highest correlation to our sample. Approach 3: We performed a heuristic clustering of all samples using the 800 signature genes. Samples within the same cluster were assigned the same subtype. We determined the subtype of each sample by a majority-win voting scheme based on the 3 prediction approaches described above.

Methylation Profiling and Analysis. Approximately 750 ng of DNA was used for bisulfite conversion using the EZ DNA Methylation Kit (Zymo Research). Following bisulfite conversion, samples were randomized and 160 ng of bisulfite-converted DNA was applied to the Infinium HumanMethylation450 BeadChip array, as per the manufacturer's protocols (Illumina) (56). Illumina GenomeStudio v2011.1 software was used for initial quality control checks followed by color correction and background adjustment using control probes contained on the 450K array. Subsequent processing and analysis were performed in R (v3.1.1) (57). More details on probe processing are included in *SI Appendix*. Methylation subtypes were determined using the methylation profiling classifier previously published (14). Methylation status of the *MGMT* promoter was determined from Illumina 450K data by implementation of the *MGMT*-STP27 model, as previously described (58, 59).

Data Availability Statement. All genome and transcriptome sequencing datasets in this study have been deposited in the European Genome-phenome Archive; the accession number is EGAS00001002709. The methylation data have been deposited in the Gene Expression Omnibus; the accession number is GSE128654.

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